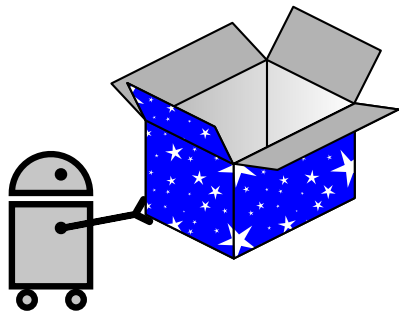


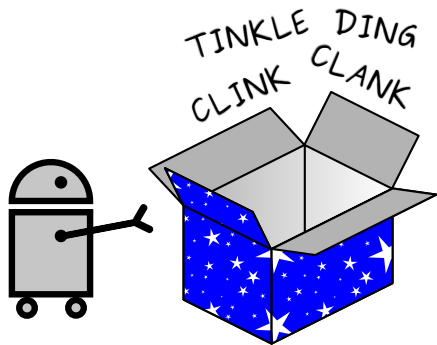
# **Conditional Beliefs in Action**

Christoph Schwering

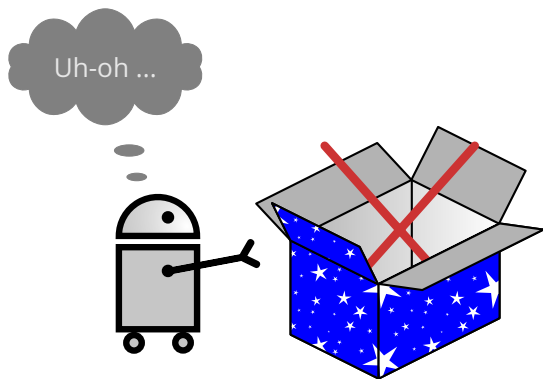
What's in the box?



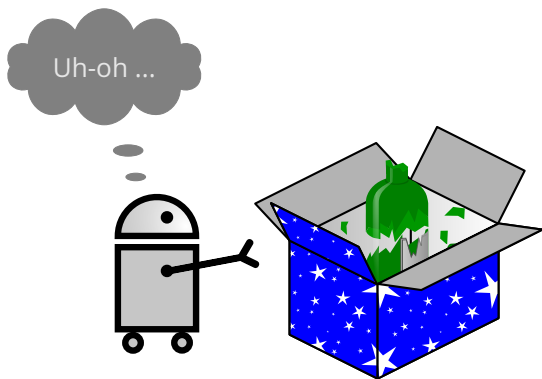
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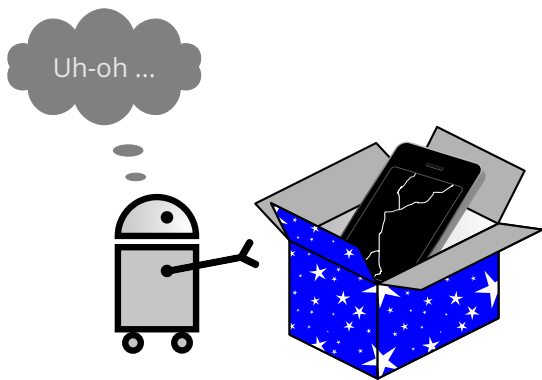
## What's in the box?



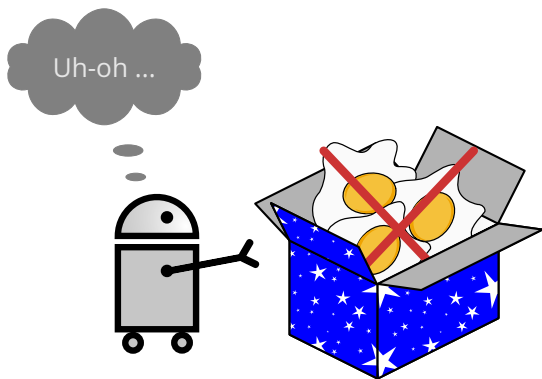
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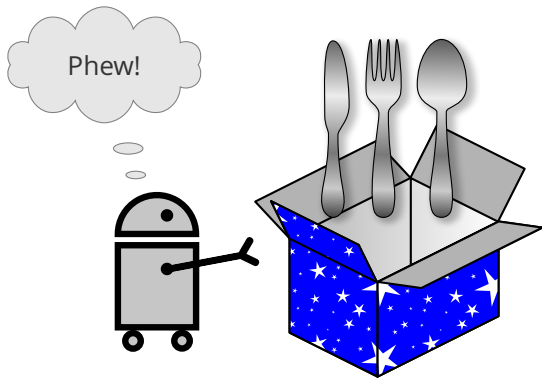
# What's in the box?



# What's in the box?



# What's in the box?





## What's in the box? — Thesis objective

Involved **concepts**:

- Conditional beliefs

- ▶ Believe the box is empty
- ▶ But if it's *not* empty, it most likely contains a gift

- Actions and perception

- ▶ Drop box → fragile objects breaks
- ▶ Clink → presumably something broke

## What's in the box? — Thesis objective

Involved **concepts**:

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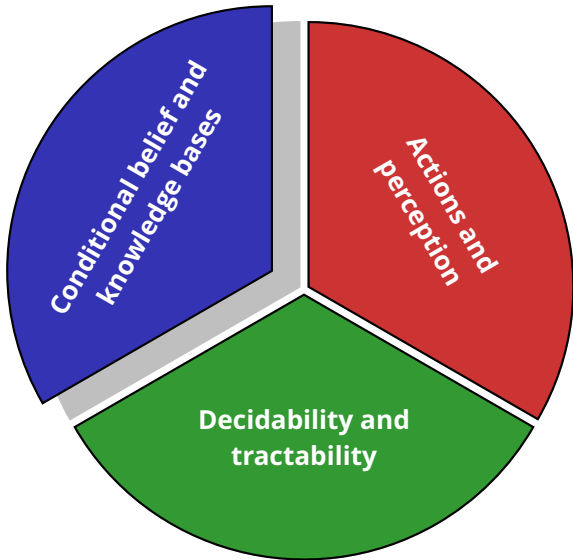
Thesis **objective**:

- Formalize these concepts
- Reason about them effectively

Key **questions**:

- What is a **conditional knowledge base**?
- How are beliefs affected by **actions and perception**?
- When is reasoning **computationally feasible**?





## What does “believe that if $\alpha$ , then also $\beta$ ” mean?

Believing a **material implication** is insufficient:

- Semantics:  $\neg\alpha \vee \beta$  is believed
- Vacuously true when  $\neg\alpha$  is believed
- Often counterintuitive
  - ✗ If the box is *not* empty, there's peace on Earth

**Conditional belief** is more intuitive:

- Rank possible worlds by plausibility
- Semantics:  $\beta$  holds in the most-plausible  $\alpha$ -worlds
- Meaningful even when  $\neg\alpha$  is believed

# Logic of conditional belief

First-order logic with two modal operators:

- $\mathbf{B}(\alpha \Rightarrow \beta)$   $\hat{=}$  we believe that if  $\alpha$ , then  $\beta$
- $\mathbf{O}\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$   $\hat{=}$  *all* we believe is  $\{\alpha_i \Rightarrow \beta_i\}$   
a.k.a. only-believing
- Here: no nested beliefs

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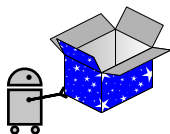
## Belief implication

Does  $\mathbf{O}\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$  entail  $\mathbf{B}(\alpha \Rightarrow \beta)$ ?

- Generalizes Levesque's *logic of only-knowing* to conditional belief
- Next: semantics and properties

## Worlds and systems of spheres

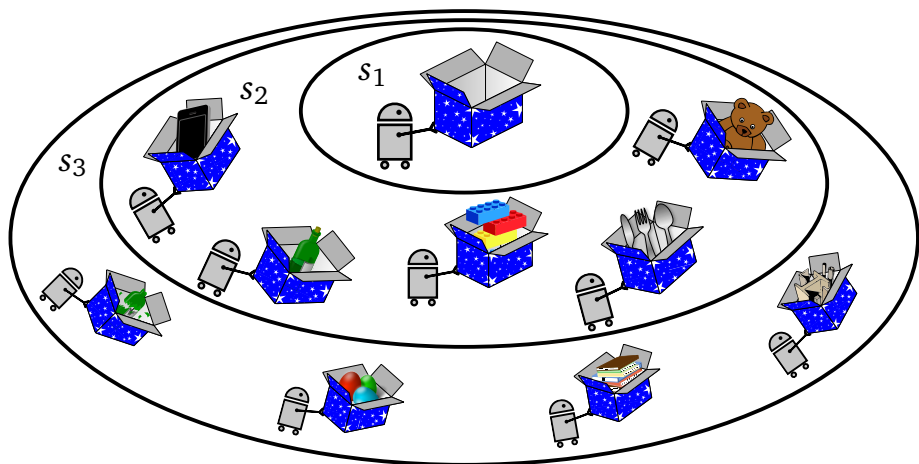
- A **world** is a truth assignment





# Worlds and systems of spheres

- A **world** is a truth assignment
- A **system of spheres**  $\vec{s}$  ranks possible worlds by plausibility



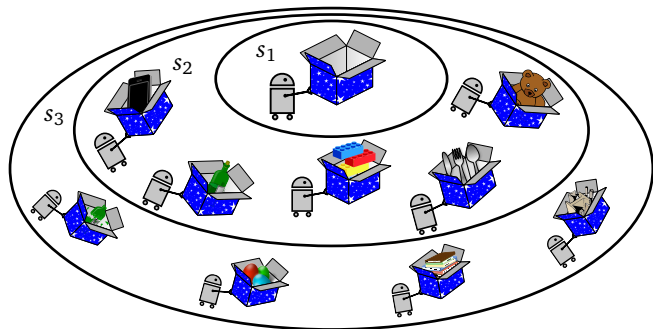
# Conditional believing

## Semantics

$\vec{s}$  satisfies  $\mathbf{B}(\alpha \Rightarrow \beta)$  iff the first sphere of  $\vec{s}$  consistent with  $\alpha$  satisfies  $\alpha \supset \beta$

$\mathbf{B}(\text{True} \Rightarrow \forall x \neg \text{InBox}(x))$

$\mathbf{B}(\exists y \text{InBox}(y) \Rightarrow \exists x (\text{InBox}(x) \wedge \text{Gift}(x)))$



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## Some properties

- Believing  $\alpha \hat{=} \mathbf{B}(\text{True} \Rightarrow \alpha)$
- Knowing  $\alpha \hat{=} \mathbf{B}(\neg \alpha \Rightarrow \text{False})$
- Quantifying-in  $\neg \exists x \mathbf{B}(\exists y \text{InBox}(y) \Rightarrow \text{InBox}(x))$
- Non-monotonic

# Conditional only-believing

## Semantics

$\vec{s}$  satisfies  $\mathbf{O}\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$  iff  $\vec{s}$  is maximal such that  $\vec{s}$  satisfies all  $\mathbf{B}(\alpha_i \Rightarrow \beta_i)$

- $\vec{s}$  is maximal  $\hat{=}$  no worlds can be added to any sphere without removing worlds from some sphere
- $\mathbf{O}\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$   $\hat{=}$  all we believe is  $\{\alpha_i \Rightarrow \beta_i\}$

## Unique-model property

A unique  $\vec{s}$  satisfies  $\mathbf{O}\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$

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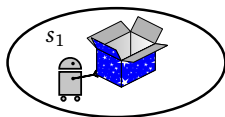
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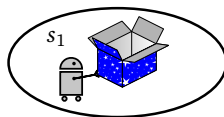


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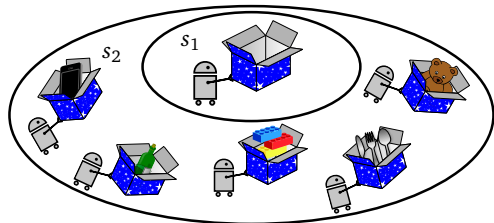


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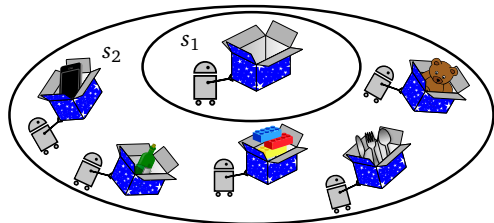


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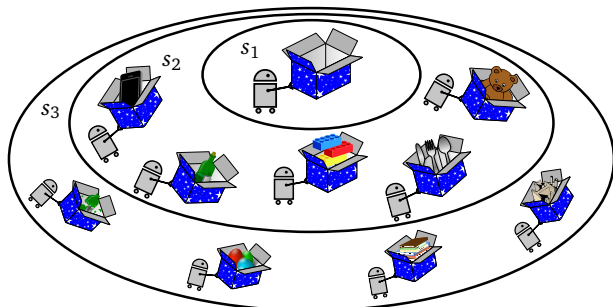


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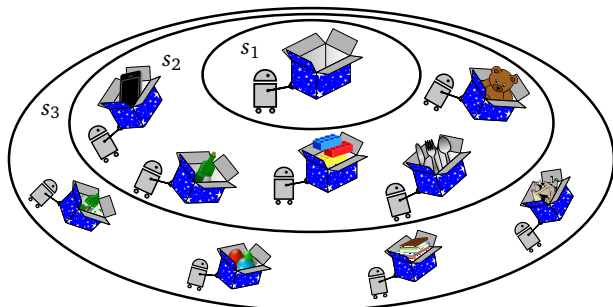


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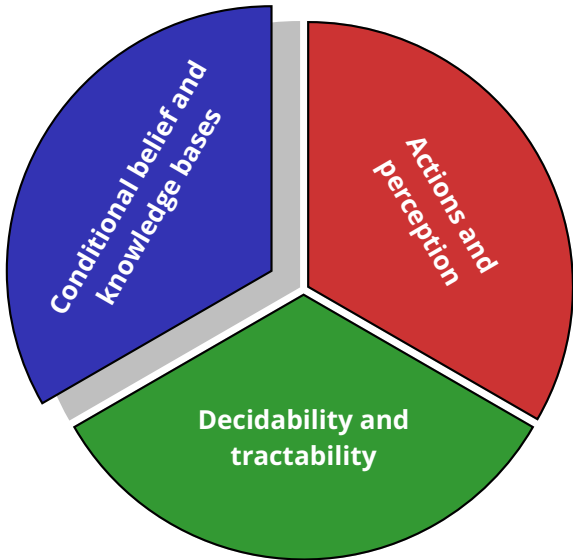
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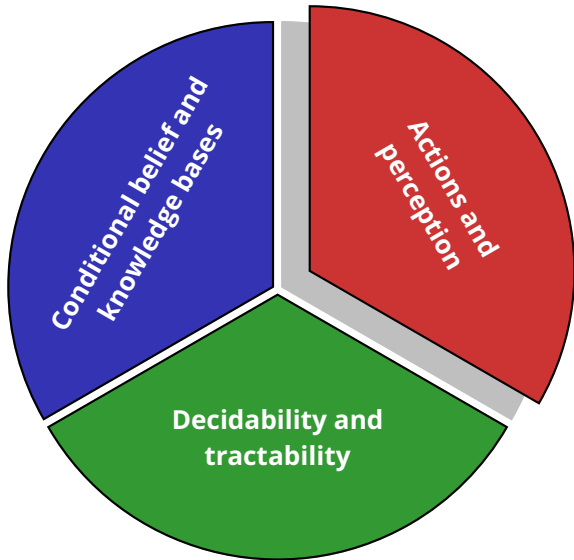


## Contribution: conditional belief and knowledge bases

- Conditional belief → more and less plausible beliefs
- Only-believing captures idea of conditional KB
- Generalized Levesque's *logic of only-knowing* to conditional belief
  - ▶ Our logic subsumes Levesque's
  - ▶ Unique-model property of only-believing
  - ▶ Levesque's representation theorem extends nicely:  
decide belief implication with non-modal reasoning
- Related to Pearl's *System Z*
  - ▶ System Z is a meta-logical framework
  - ▶ Our logic subsumes Pearl's 1-entailment



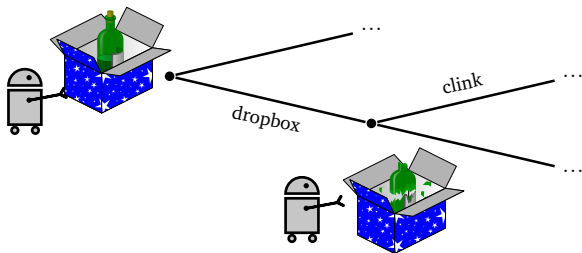




# Action effects: physical and/or epistemic

## Physical effect:

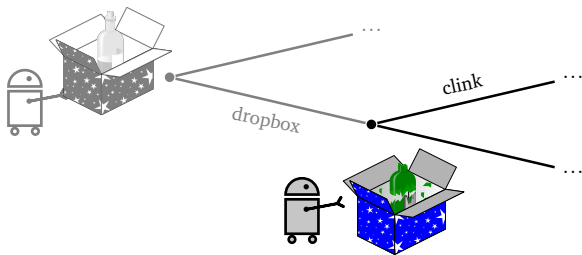
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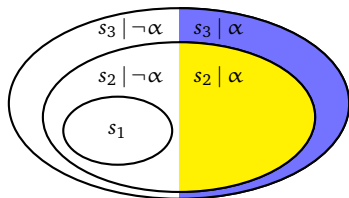
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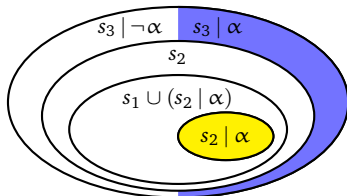
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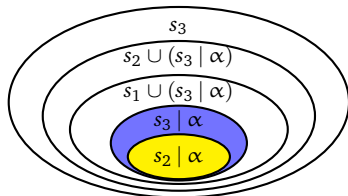
- Clink  $\rightarrow$  presumably something broke
- Semantics: revise the system of spheres



Original system of spheres



Weak revision by  $\alpha$



Strong revision by  $\alpha$

## Action effects: physical and/or epistemic

**Epistemic** effect:

- Clink  $\rightarrow$  presumably something broke
- Semantics: revise the system of spheres

Actions **inform** the agent:

- Action  $A$  tells that  $\varphi_A$  is presumably true
- $\varphi_A$  is incorporated by weak or strong revision
- Contradicting information is no problem

## Situation calculus with conditional belief

Two new modal operators:

- $[A]\alpha \hat{=} \alpha$  holds after action  $A$
- $\Box\alpha \hat{=} \alpha$  holds always

Action theory  $\mathbf{O}(\Sigma_{\text{bel}} \cup \Sigma_{\text{dyn}})$ :

- $\Sigma_{\text{bel}} \hat{=} \text{initial beliefs}$
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## Belief projection

Does  $\mathbf{O}(\Sigma_{\text{bel}} \cup \Sigma_{\text{dyn}})$  entail  $[A_1] \dots [A_k]\mathbf{B}(\alpha \Rightarrow \beta)$ ?

- Based on Lakemeyer and Levesque's *epistemic situation calculus*
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- Solution: reduce belief projection to belief implication
  - ▶ Regression: roll back actions  $A_k, \dots, A_1$  in query
  - ▶ Progression: apply effects of  $A_1, \dots, A_k$  to initial beliefs  $\Sigma_{\text{bel}}$

# Projection by regression

## Correctness of regression

$\mathbf{O}(\Sigma_{\text{bel}} \cup \Sigma_{\text{dyn}})$  entails  $\alpha$  iff  $\mathbf{O}\Sigma_{\text{bel}}$  entails  $\mathcal{R}[\alpha]$

$\mathcal{R}[\alpha]$  obtained by repeating until no  $[A]$  operator is left:

1. Push  $[A]$  operators inwards
2. **Predicates:** axioms  $\Sigma_{\text{dyn}}$  relate truth **after** and **before**  $A$   
 $[\text{dropbox}]\text{Broken}(x) \mapsto \text{Broken}(x) \vee (\text{Fragile}(x) \wedge \text{InBox}(x))$

3. **Beliefs:** theorems relate belief **after** and **before**  $A$

$$\begin{aligned} [A]\mathbf{B}(\alpha \Rightarrow \beta) \mapsto & \mathbf{B}(\varphi_A \wedge [A]\alpha \Rightarrow [A]\beta) \wedge \neg\mathbf{B}(\varphi_A \Rightarrow \neg[A]\alpha) \vee \\ & \mathbf{B}([A]\alpha \Rightarrow [A]\beta) \wedge \mathbf{B}(\varphi_A \Rightarrow \neg[A]\alpha) \vee \\ & \mathbf{B}(\varphi_A \Rightarrow \text{False}) \end{aligned}$$

# Projection by regression

## Correctness of regression

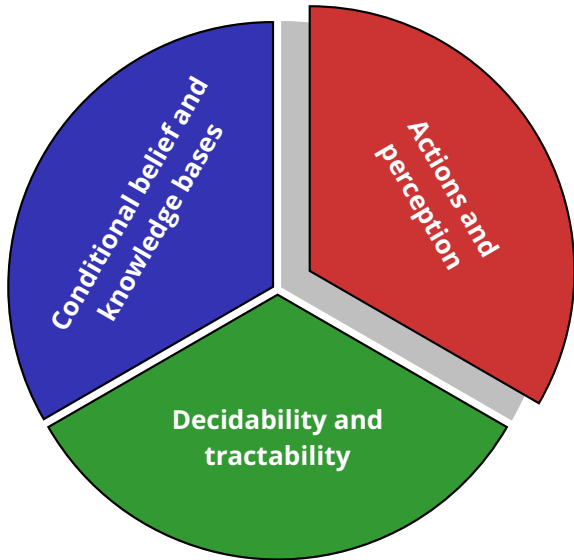
$\mathbf{O}(\Sigma_{\text{bel}} \cup \Sigma_{\text{dyn}})$  entails  $\alpha$  iff  $\mathbf{O}\Sigma_{\text{bel}}$  entails  $\mathcal{R}[\alpha]$

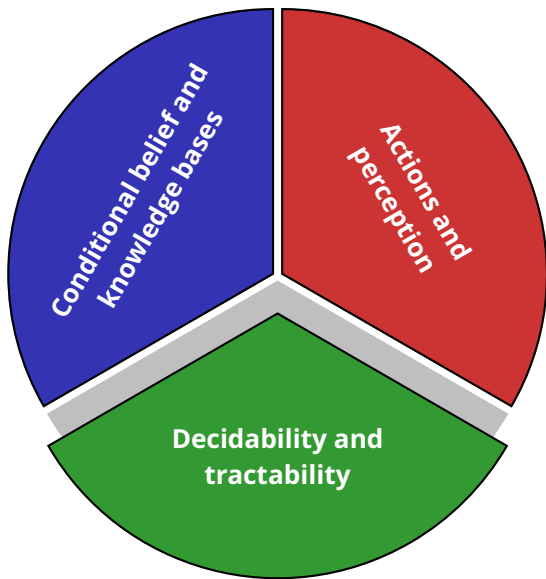
$\mathcal{R}[\alpha]$  obtained by repeating until no  $[A]$  operator is left:

1. Push  $[A]$  operators inwards
2. **Predicates:** axioms  $\Sigma_{\text{dyn}}$  relate truth **after** and **before**  $A$   
 $[\text{dropbox}]\text{Broken}(x) \mapsto \text{Broken}(x) \vee (\text{Fragile}(x) \wedge \text{InBox}(x))$
3. **Beliefs:** theorems relate belief **after** and **before**  $A$   
 $[A]\mathbf{B}(\alpha \Rightarrow \beta) \mapsto \mathbf{B}(\varphi_A \wedge [A]\alpha \Rightarrow [A]\beta) \wedge \neg\mathbf{B}(\varphi_A \wedge [A]\alpha \Rightarrow \text{False}) \vee$   
 $\mathbf{B}(\quad [A]\alpha \Rightarrow [A]\beta) \wedge \mathbf{B}(\varphi_A \wedge [A]\alpha \Rightarrow \text{False}) \vee$   
 $\mathbf{B}(\varphi_A \Rightarrow \text{False})$

## Contribution: actions and perception

- Conditional belief + situation calculus
- Physical and/or epistemic (informing) effect
- Projection by regression and progression
  
- Based on Lakemeyer and Levesque's epistemic sitcalc
  - ▶ We handle contradicting information
  - ▶ Extended regression, progression for conditional belief
- Goes beyond Shapiro et al.'s sitcalc with belief change
  - ▶ Our logic supports proper revision
  - ▶ We address belief projection





# Why decidable reasoning?

Our logic is **too powerful**:

- Omniscient
- Undecidable (first-order) / intractable (propositional)

Possible **approaches**:

- Restrict expressivity (classical approach)
- Restrict inferences

**Limited** reasoning:

- Set of worlds  $\rightarrow$  setup: set of ground clauses closed under subsumption and unit propagation

- Add literals  $\rightarrow$  new inferences

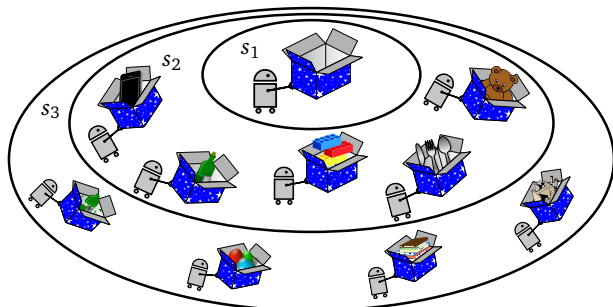
$\hat{=}$   
Effort

$A \vee B$  subsumes  $A \vee B \vee C$

$\neg A$  and  $A \vee B \vee C$  yield  $B \vee C$

## Example of limited conditional belief

$$\mathbf{O}\{\text{True} \Rightarrow \forall x \neg \text{InBox}(x), \\ \exists y \text{InBox}(y) \Rightarrow \forall x (\text{InBox}(x) \supset \text{Gift}(x)), \\ \exists y \text{InBox}(y) \Rightarrow \forall x (\text{InBox}(x) \supset \neg \text{Broken}(x))\}$$





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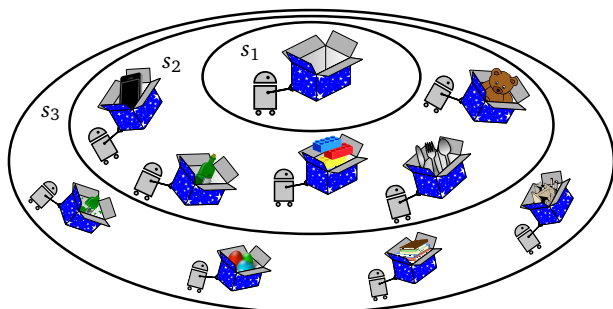
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$$s_1 = \{\neg \text{InBox}(n) \mid n \in N\}$$

$$s_2 = \{\neg \text{InBox}(n) \vee \text{Gift}(n), \neg \text{InBox}(n) \vee \neg \text{Broken}(n) \mid n \in N\}$$

$$s_3 = \{\}$$

set of individuals



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- $s_1$  is not consistent with  $\text{InBox}(n)$ 
  - ▶  $s_1$  contains  $\neg \text{InBox}(n)$

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Does  $\vec{s}$  satisfy  $\mathbf{B}_1(\text{InBox}(n) \Rightarrow \text{Gift}(n) \wedge \neg \text{Broken}(n))$ ? ✓

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## Logic of limited conditional belief

Belief operators with effort  $k \in \{0, 1, 2, \dots\}$

- $\mathbf{B}_k(\alpha \Rightarrow \beta)$   $\hat{=}$  belief at level  $k$
- $\mathbf{O}_k\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$   $\hat{=}$  only-belief at level  $k$ 
  - $\alpha_i$  only mentions  $\wedge, \exists$ , literals
  - $\beta_i$  only mentions  $\vee, \forall$ , literals } prenex-NNF of  $\alpha_i \supset \beta_i$  is a clause



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## Limited belief implication

Does  $\mathbf{O}_k\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$  entail  $\mathbf{B}_{k'}(\alpha \Rightarrow \beta)$ ?

- Based on Liu, Lakemeyer, Levesque's *limited knowledge*
- Semantics for  $\mathbf{B}_k$  and  $\mathbf{O}_k$  mostly as for  $\mathbf{B}$  and  $\mathbf{O}$  except:
  - ▶ Sets of possible worlds  $\mapsto$  setups
  - ▶ Sound but incomplete consistency and satisfaction
- Next: soundness and decidability

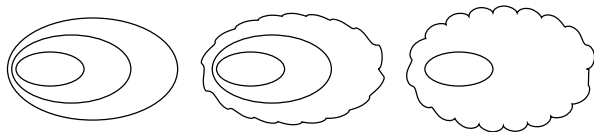
## Soundness

If  $\mathbf{O}_k\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$  entails  $\mathbf{B}_{k'}(\alpha \Rightarrow \beta)$ , then  
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# Limited belief implication: soundness and decidability

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Why?

- $\mathbf{O}_k$ 's first spheres are faithful to  $\mathbf{O}$ 's spheres
- $\mathbf{O}_k$ 's last sphere believes less than  $\mathbf{O}$ 's sphere
- $\mathbf{B}_k$  doesn't select a too-narrow sphere

## Complexity

Whether  $\mathbf{O}_k\{\alpha_1 \Rightarrow \beta_1, \dots, \alpha_m \Rightarrow \beta_m\}$  entails  $\mathbf{B}_{k'}(\alpha \Rightarrow \beta)$  is

- **First-order case:** decidable
- **Propositional case:** tractable for fixed effort  $k, k'$

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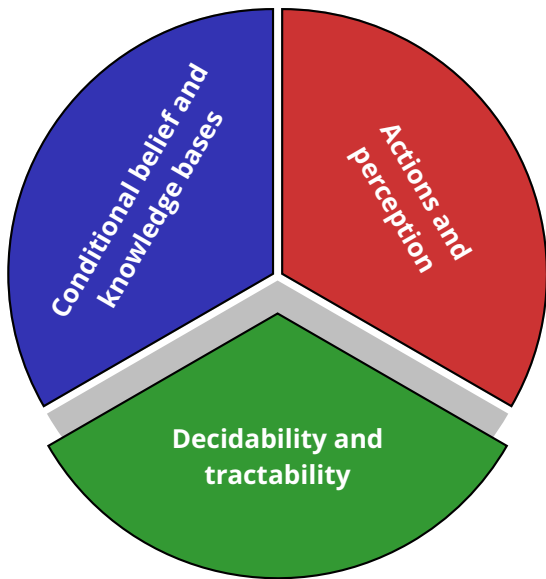
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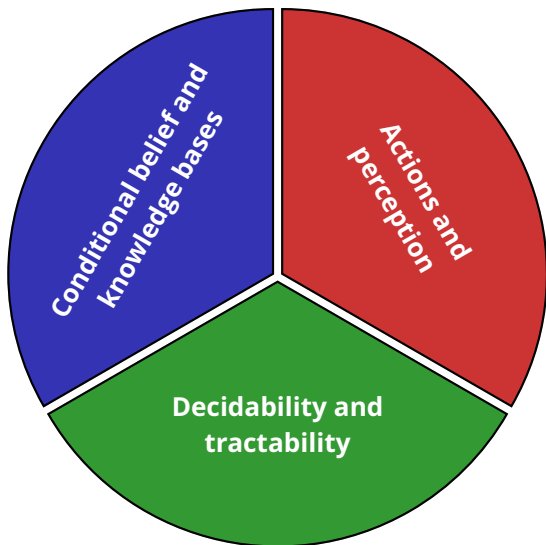
Why?

- Unique system of spheres since  $\alpha_i \supset \beta_i$  are clauses
- Only finitely many individuals can be distinguished by formulas
- Only finitely many literals are relevant for adding

## Contribution: limited conditional belief

- Effort bounds possible inferences
- Limited belief implication is decidable, sound
- Sacrificed completeness, preserved expressivity
  
- Based on Liu, Lakemeyer, Levesque's limited knowledge
  - ▶ Added sound consistency test
  - ▶ Approximative system of spheres







## Conditional Beliefs in Action

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- How are beliefs affected by actions and perception?
- When is reasoning computationally feasible?

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- When is reasoning **computationally feasible**?
  - ▶ Sound, decidable, sometimes tractable belief implications
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- What is a **conditional knowledge base**?
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  - ▶ Next: Probabilities?
- How are beliefs affected by **actions and perception**?
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  - ▷ Projection by regression and progression
  - ▶ Next: More revision operators?
- When is reasoning **computationally feasible**?
  - ▷ Sound, decidable, sometimes tractable belief implications
  - ▷ Sacrificed completeness, preserved expressivity
  - ▶ Next: Tractable revision by limited reasoning?